



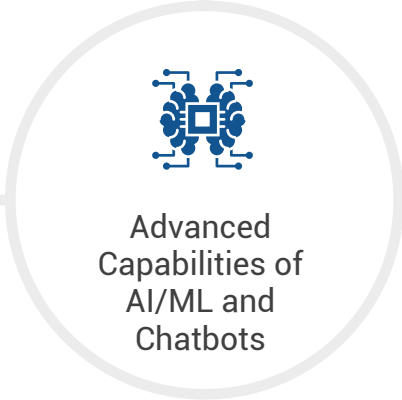
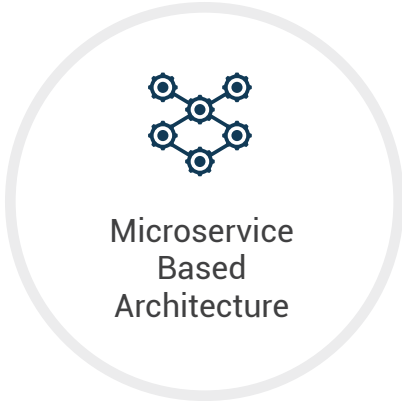
Governance, Risk & Compliance

Helping you Anticipate and Avoid Risk



ABOUT Platform X GRC

GRCNext is the next generation platform for GRC and IRM related products. GRCNext is built on the microservices architecture which makes it modular, scalable, and timeless. GRCNext comes with the built in AI-ML capabilities, Robotic Process Automation and NLP features to automate at a scale that completely removes the repetitive, replicable, and routine analytical tasks.

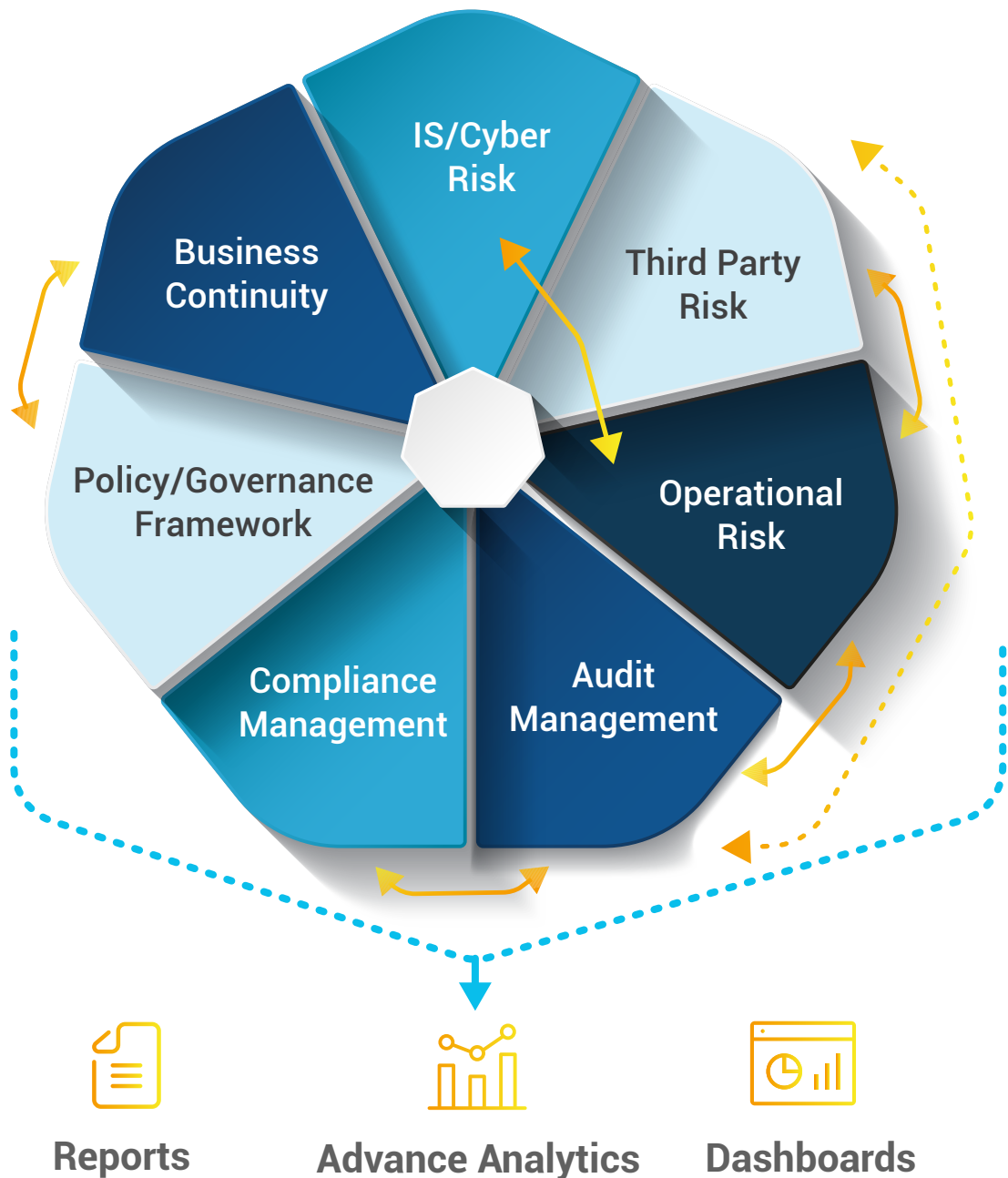


GRCNext comes with the built in **AI-ML** capabilities, **Robotic Process Automation** and **NLP** features.

GRC Applications



An Integrated Approach To Manage Risk And Compliance Across Departments, Business Units And Legal Entities



Operational Risk



Prebuilt Risk Libraries, Controls, Processes and Key Risk Indicators consisting of 2000 risks, 3500 controls and 800 Key Risk Indicators across 110 processes for a bank.



Automate Risk Assessments using your own risk management framework.



Configurable and distinct workflows for various processes, departments, and branches.



Interactive dashboards and reporting tool to get a 360-degree view of Risk, KRIs and Loss Events.



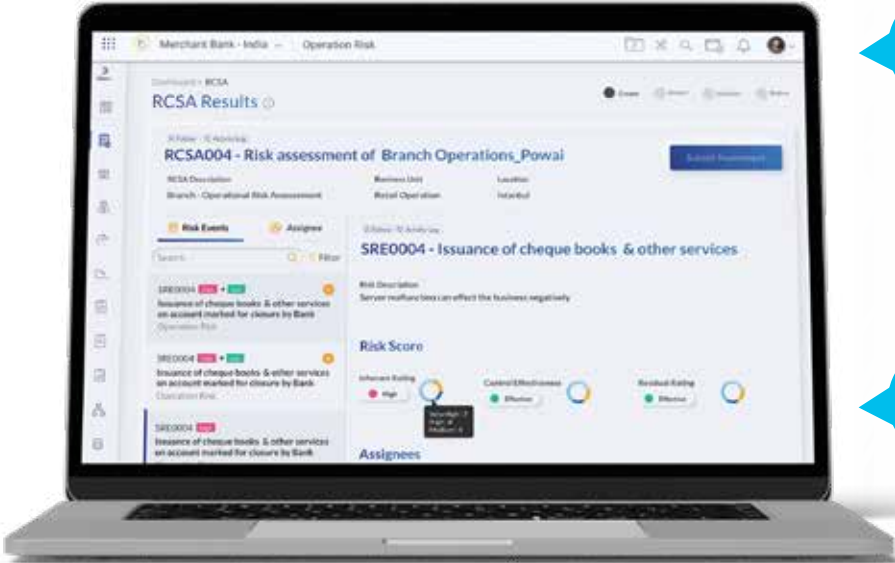
Customized alerts in case of a KRI breach, risk tolerance breach, sensitive incidents.



Multi-dimensional risk index, at a Business Unit level, Process level or at Company level.



Visibility To Operational Risks, Mitigate Impacts And Reduce Likelihood



HIGH-LEVEL SUMMARIZED VIEW

Consolidated and high-level summarized view of the operational risk state, capability to drill down to appropriate granular levels of information.



CUSTOMIZED DASHBOARDS

Configure and built customized dashboards and reports using chart builder and report designer.

Policy/Governance Framework

End to End Create, Manage Policy Lifecycle with Agile approach

1

Policy Lifecycle Management

The solution comes with pre defined lifecycle that automates a consistent approval and review process to ensure policies are always updated.

2

Policy Campaign Management

Policy campaign creation for attestations, quizzes and certifications based on a chosen users or user groups and track attestation details in dashboards.

3

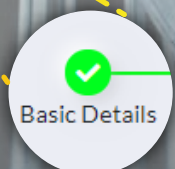
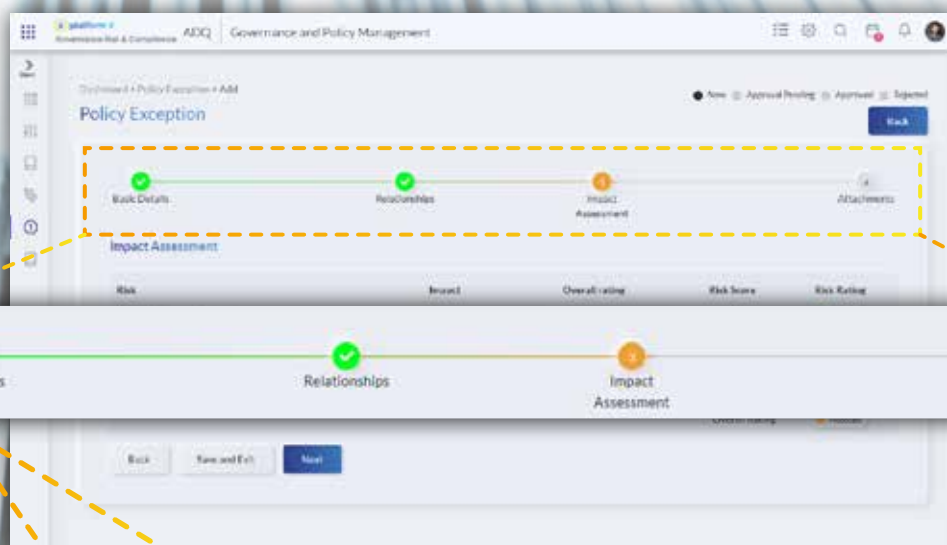
Policy Exception Management

Ensures exceptions are appropriately reviewed and assessed for a realistic overview of an enterprise's compliance posture.

4

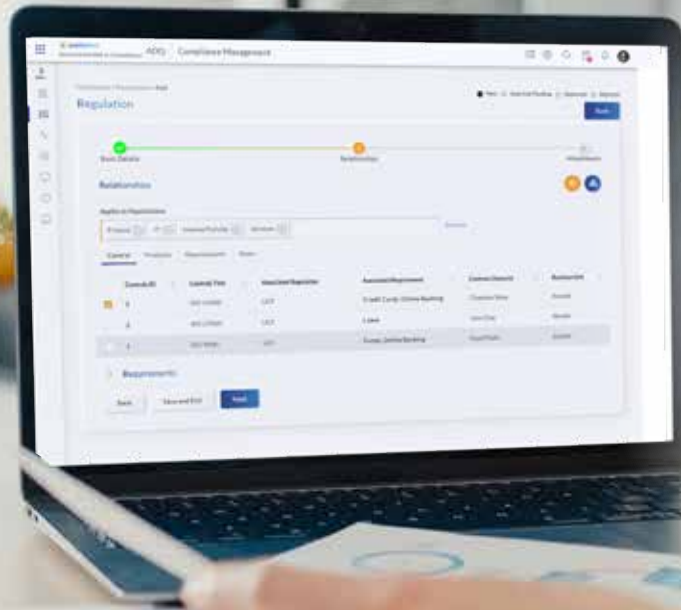
Policy Portal

Access the latest policies and governance framework, perform policy attestation, and request policy exceptions in the centralized portal.



Policy Lifecycle Management helps to finish the entire management process efficiently

Compliance Management



Regulatory Requirements Simplified With Efficient Tracking And Monitoring

1. Manage all your compliance needs at one single place. Makes it easy to manage the entire compliance program seamlessly.

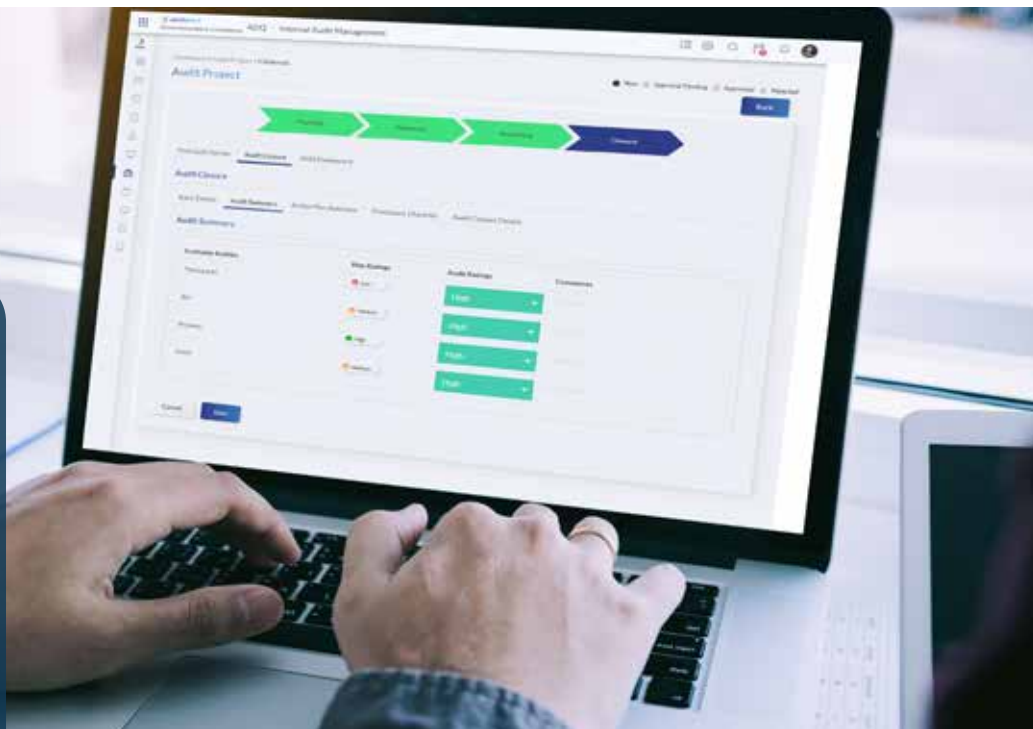
Easy to project from reporting standpoint.

2. Enables control harmonization, mapping controls to multiple requirements, identification of common controls and common questions for efficient control assessment.

3. Our product can help to scale and score the Compliance adherence across Business units and functions and mandates the controls testing periodically to reduce adverse impact.

4. Compatible with API based integration with UCF and Compliance. AI capabilities helps organizations to get harmonized controls more than 5000 frameworks and 9000 common controls.

Audit Management



**Simplify
And
Accelerate
Internal Audits**

1



Define comprehensive audit plans, objectives, scope, budget, criteria, and checklists. Maintain a library of past audits, workflow templates, and risk and control matrices to streamline audit activities.

2



Schedule audits periodically or on an ad-hoc basis, assign and manage resources, auditors for each audit project quite conveniently by selecting the auditors and assigning responsibilities.

3



Capture qualitative and quantitative operational audit findings in pre-defined formats and measure the progress of audit execution in real time.

4



Track the audit observations with customized categorization, address the recommendation monitoring, audit issue management and remediation.

Third Party Risk



Third Party Sourcing Simplified, Improved And Efficient

Manage third party onboarding by adding or importing third parties and related information in one centralized platform.

Supports dynamic and online risk assessment of third party through questionnaire-based approach, incorporating best-practice assessments.

Pre-configured due diligence assessments aligned to regulatory guidance, create custom-defined assessment based on specific requirements.

System provides a holistic view of third-party lifecycle across various stages like onboarding, monitoring, contract management and offboarding.



IS/Cyber Risk

Cyber Risk Management Made Simple And Easy To Monitor

1 Vulnerability Scan Result Consolidation

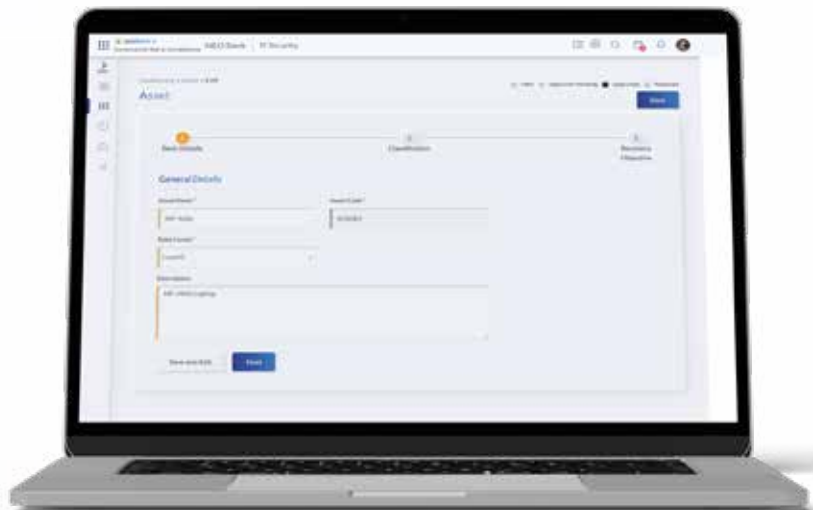
Create IT assets in the Governance library, pull vulnerabilities from asset scanners, consolidate and segregate the vulnerabilities based on the criticality.

2 Rule Engine

Define the key decisions with which system can help user to take on Vulnerabilities, Designate vulnerabilities to mark with an exceptions, create issues or mark the scan result as false positive.

3 Action Plan and Mitigation

Automatically raise action plan based on configured template, managing all the issues triggered for the vulnerabilities.



4 End to End Automation

Automate the workflow including the identification of vulnerabilities, passing it to library and report generation for scan results, flagging vulnerabilities in case false alarm or marked with an exception or triggered an issue.

5 Streamline Cyber Risk Program

Streamline the Cyber Program and prioritize critical IT Asset from Vulnerability standpoint.

Business Continuity



Prompt Crisis Management, Continuity Planning And Real Time Monitoring



Business Impact Analysis

Trigger and manage BIA surveys to identify critical assets, processes, resources for each application and Business Unit.



Business Continuity and Disaster Recovery Plan

Effectively create, maintain and exercise continuity and recovery plan from pre-built templates and configurable questionnaire and maintain it with policy-driven workflow.



Incident Management

Real-time incident reporting, tracking and analysis. Perform crisis communication by announcing an event and quickly activate the selection of plans.



Notification and Updates

Real-time notification for DR drills, emergency actions, incidents, plan activations.

Technical Features



Microservice Based Architecture

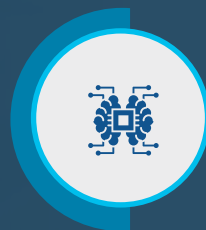


No Code Platform



Seamless User Experience

Built on advanced BPM tools that allows complete flexibility and intuitive UI to configure workflows, forms, and applications. The platform is developed on a high performance microservices architecture which allows complete flexibility and scalability for integrations.



Advanced Capabilities on AI/ML and Chatbots



Integrated Reporting and Dashboard Capabilities



Self Designable UI

About Us

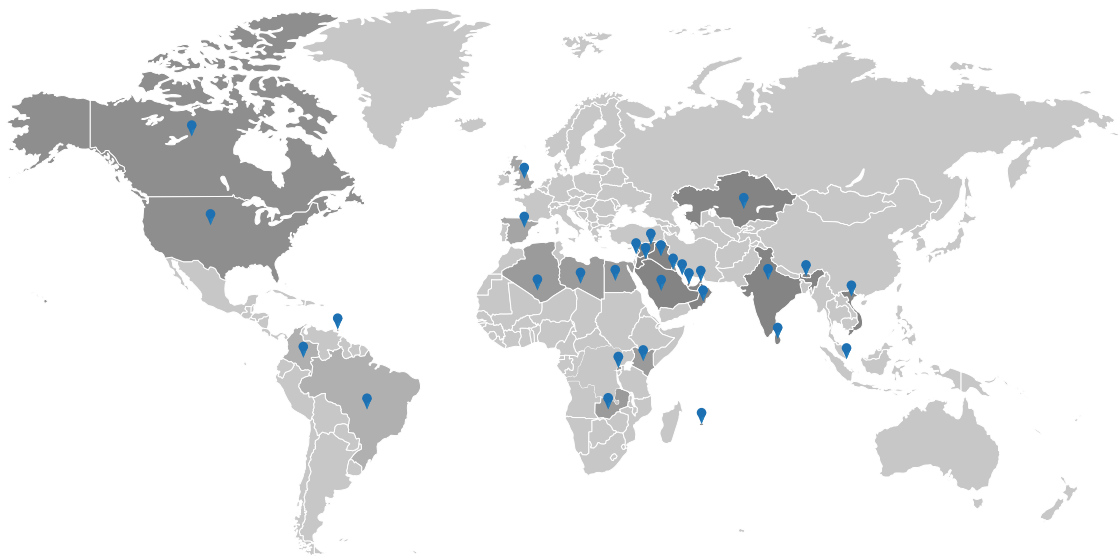
Financial Institutions have started automating routine tasks and eliminate inefficiency, but higher order and expensive Analytical Processes requiring intelligence and human decision-making are not automated. Generic automation platforms would not be able to handle Analytical Processes that require deep-domain knowledge.

CogNext, incubated by Aptivaa, intelligently incorporates cognitive technologies such as AI-ML and NLP into robotic process automation. Combined with our domain knowledge, CogNext brings Automation at Scale for Financial Institutions, thus assisting the knowledge worker by removing repetitive, replicable, and routine analytical tasks.

100⁺
Clients

30⁺
Countries

15⁺
Yrs
Experience



Aptivaa

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